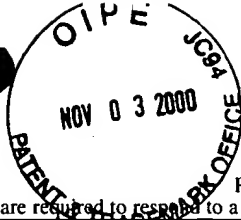


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PTO/SB/21 (12/97)

Approved for use through 09/30/00 OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (To be used for all correspondence after initial filing)	Application Number	09/409,163	
	Filing Date	09/30/1999	
	First Named Inventor	GAO, ET AL.	
	Group Art Unit	2743	
	Examiner Name	NOT ASSIGNED	
TOTAL NUMBER OF PAGES IN THIS SUBMISSION	5+	Attorney Docket Number	037422.P006

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement & Form 1449 Mod. <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <input type="checkbox"/> Drawings <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) & Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication Group <input type="checkbox"/> Appeal Communication to Board of Appeals & Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) Postcard Copies of prior art (4) Copy of International Preliminary Examination Report
<b>Remarks</b> In the event that an extension of time is required to prevent this application from being abandoned, such extension is hereby requested and authorization is hereby given to charge the appropriate fee to Deposit Account No. <u>02-2666</u> .		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP George W Hoover, Reg. No. 32,992
Signature	
Date	October 27, 2000

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:		October 27, 2000
Typed or Printed Name	D.L. Mendrygal	
Signature		Date October 27, 2000

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#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

GAO, ET AL.

Serial No.: 09/409,163

Filed: 09/30/1999

For: **BAND-LIMITED ADAPTIVE FEEDBACK  
CANCELLER FOR HEARING AIDS**



Art Unit: 2743

Examiner: not assigned

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INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner  
for Patents  
Washington, D.C. 20231

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Dear Sir:

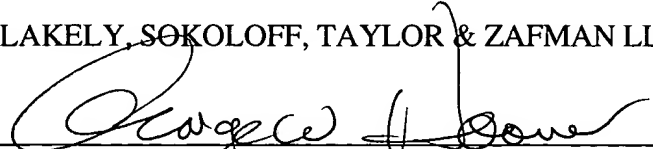
Pursuant to Applicant's duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby submits copies of the reference cited in the enclosed form 1449 (modified). The relevance of these references, as asserted by the examining officer for the international preliminary examining authority, is explained in the enclosed copy of the International Preliminary Examination Report for the PCT application corresponding to the subject U.S. application.

Applicant hereby certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

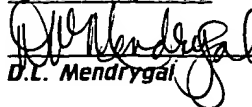
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: October 27, 2000

  
George W Hoover  
Reg. No. 32,992

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(310) 207-3800

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D.L. Mendrygal

October 27, 2000  
Date